



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/FI93/00409 <b>(22) International Filing Date:</b> 8 October 1993 (08.10.93) <b>(30) Priority data:</b> 924590 9 October 1992 (09.10.92) FI <b>(71)(72) Applicants and Inventors:</b> HELLÉN, Leena [FI/FI]; Tuulensuunkatu 5 a, FIN-21100 Naantali (FI). HUSSON, Isabelle [FR/FI]; Meriusva 5 as 43, FIN-02320 Espoo (FI). KRISTOFFERSSON, Eeva [FI/FI]; Säynävätie 2 A, FIN-02170 Espoo (FI). YLIRUUSI, Jouko [FI/FI]; Valkonauhantie 6 A, FIN-02700 Kauniainen (FI). <b>(74) Agent:</b> OY JALO ANT-WUORINEN AB; Iso Roobertinkatu 4-6 A, FIN-00120 Helsinki (FI).		<b>(81) Designated States:</b> AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> PROCESS FOR THE PREPARATION OF DRUG PELLETS  <b>(57) Abstract</b>  The object of the invention is a process for the preparation of drug pellets, which method comprises the stages according to which: a drug containing powder is granulated in a rotor type granulator, by exerting a centrifugal force on the powder in the rotor and bringing it, at the periphery of the rotor or adjacent thereto, in contact with a granulating liquid fed separately into the rotor and converted to a mist therein, to which granulating liquid an anti-adhesion agent in an amount of 0.001-5 % of the weight of the granulating liquid has been added; the granulate is extruded; and the extrudate obtained is spheronized to pellets which are dried and optionally coated.		

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## Process for the preparation of drug pellets

The object of this invention is a process for the preparation of drug pellets, which method comprises the stages  
5 wherein a drug containing powder is granulated in a granulator which operates by the rotor principle, i.e. in a rotor type granulator, by exerting a centrifugal force on the powder and, at the periphery of the rotor or in its vicinity, bringing the powder in contact with a separately  
10 fed granulating liquid converted to a mist to make a granulate, extruding the granulate and spheronizing the extrudate obtained into pellets, which are dried and optionally coated.

15 Drug containing powders to be formulated into drug forms, such as pellets or tablets, seldom exhibit optimal formulation characteristics, such as flow, binding and solubility characteristics. Thus in order to provide optimal dosage forms the drug thus usually has to be combined with various  
20 adjuvants and additives which, on the one hand, impart to the dosage form suitable and desired characteristics and, on the other hand, facilitate the preparation of the dosage form.

25 In order to obtain a product of even quality, a granulate is first formed from the powder mixture containing adjuvants and additives, either by wet or by dry granulation, wherefrom subsequently pellets are formed and/or tablets are compressed.

30

Adjuvants generally in use in drug forms, such as pellets and tablets, are i.a. lactose, mannitol, microcrystalline cellulose, starch, sucrose, etc., the main purpose of which is to function as a filler or a diluent for the drug. Their  
35 amount as calculated from the final drug form can vary within wide limits, and can constitute even 99 % or more of the weight of the whole formulation.

Binders are added to bind the powders and to prepare coherent drug formulations. Generally used binders are, for example, gelatin, various cellulose derivatives, polyvinylpyrrolidone, but also sucrose and starch may function as a binder. The binder is added either in dry form to the powder, or as a liquid. Binders are generally used in an amount of appr. 2-10 % (w/w).

Glidants are added to the dry powders primarily in order to improve the pour and flow characteristics of the powders so that they may be fed at an even rate to the apparatus, thus allowing for the preparation of a product which is as homogenous as possible. The glidants are solid; typical glidants are colloidal silicon dioxide, magnesium stearate, starch and talcum. The amount to be added is naturally dependant on the powder used and also on the glidant, for example magnesium stearate is added to the powder in an amount of appr. 0.3-1 %, whereas the amount of talcum to be added can be as high as 5%.

In the formulation, besides the above mentioned substances, also other adjuvants may be used, such as disintegrating agents and pH regulating agents. Surface active agents, such as polysorbates and sodium laurylsulfate have been proposed to be used for improving the wettability of the powder and the solubility of poorly soluble and hydrophobic drugs. It has been stated, however, that the addition of surface active agents to the treatment liquid should be avoided as such an addition makes the pellets so prepared brittle (Pharmaceutical Pelletization Technology, Drugs and the Pharmaceutical Sciences, vol. 37, Ed. I. Ghebre-Sellassie (Marcel Decker, 1989).

In the granulation, different kinds of mixing apparatuses have traditionally been used, e.g. high-shear or planetary mixers, which all operate on the batch principle, that is,

they can be used for the manufacture of only one batch at a time. In order to obtain a good granulation result with these apparatuses, usually various adjuvants have to be added, for example binders or even organic solvents.

5 Irrespective of carefully chosen substances and amounts it is not always possible to make, for example, a product which remains even as to its moisture content with a mixer of this type. This is naturally a big disadvantage especially in the preparation of drug forms. In addition, with

10 regard to many drugs it would be of advantage if it were possible to eliminate the use of various additives in the drug formulations and thus raise the drug level itself.

According to the invention a method has now been developed

15 which makes it possible to prepare drug pellets of very even quality with an apparatus, which includes a continuously operable granulator and an extruder and a spheronizer associated therewith.

20 The said granulator is of rotor type, wherein the powder and liquid, under the influence of the centrifugal force generated by a rapidly rotating rotor, are separately slung towards the periphery of the rotor, where or in the vicinity whereof the liquid dispersed into particulate form

25 (mist) meets the powder and is mixed therewith to form an even granular mass. Such a mixer is in itself known and described for example in the EP-publication 254 791. More specifically, such an apparatus is formed by a rotor structure rotating in a housing and comprising, mounted on

30 the same shaft, an upper disc provided, on its upper surface, with powder compartments restricted from above by the housing wall, into which compartments the powder is fed at the centre of the rotor, and a lower disc, which is spaced from the upper disc, into which space the liquid is

35 fed. The discs rotate at e.g. 1000-5000 rpm, the peripheral speed being e.g. appr. 300-5000 m/min depending on the diameter of the rotor. The liquid is forced from

the narrow slotlike space between the upper and lower rotors from its central feeding point towards the periphery of the rotor, where it exits as a mist through a narrow slit at the periphery of the upper rotor and where it meets  
5 the powder similarly forced by the centrifugal force towards the periphery, forming a very even granulate.

After granulation, the granulate obtained is fed to an extruder which preferably is of radial type, wherein the  
10 mass is extruded into ribbonlike bodies through a cylinder shaped perforated wall by means of rotor blades rotating within the cylinder about a central axis. Further, in the apparatus there are feeding blades rotating in an opposite direction with respect to the rotor blades, above these.  
15 Such an apparatus has been described e.g. in the EP-patent specification 163 619. Also other types of extruders can come into question.

After the extrusion stage, the extrudate obtained is  
20 spheronized in a spheronizer, for example in an apparatus of the type wherein the extrudate is rotated at great speed over a rotating friction plate. An apparatus suitable for the purpose is for example an apparatus of Nica or Caleva type.

25 According to a preferred embodiment, the different components of the assembly can be interconnected in order to provide for a continuous production line, and according to an especially advantageous embodiment, an assembly sold by  
30 the trade name Nica Systems, by Nica System Ab, Sweden, is used.

According to the invention it has been found that granulation, especially continuously performed granulation, as well  
35 as extrusion and spheronization, especially using a Nica Systems type of apparatus, can be substantially improved by adding to the granulating liquid a very small amount of a

selective anti-adhesion agent, namely 0.001 to 5 % by weight, but advantageously only 0.01 - 0.1 % by weight, calculated from the weight of the granulating liquid.

- 5 As has been described above, glidants have been generally used in the formulation of drug forms, but such agents have been added directly as solid substances to the powder, primarily for improving its flow properties. According to the invention, however, the anti-adhesion agent is added to  
10 the granulating liquid, and the amount to be used according to the invention is also substantially smaller than the amounts used as described above.

The term "selective anti-adhesion agent" means in this  
15 context an agent which on the one hand improves the cohesiveness of the moist mass, and on the other hand prevents the adhesion of the moist mass to the various parts of the apparatuses used for the preparation. According to the invention it has been found that it also  
20 prevents the adhesion of the moist intermediate products, such as the moist extrudate or the moist pellets, to each other during the various stages of preparation, including drying.

25 According to the invention it has thus been observed that by adding a small amount of anti-adhesion agent to the granulating liquid, friction developed especially in the granulator but also in the extruder, and the resulting heating and adherence of the granular mass to the surfaces,  
30 can be eliminated to a substantial degree. This allows for operating the process in a continuous manner, with no process disturbances of any kind. In connection with the development of the invention, it has also been observed that without the said addition of anti-adhesion agent, the  
35 apparatus is often clogged or other disturbances occur. Overheating may also lead to problems with heat-sensitive drugs.

In the extrusion stage, in addition to the benefits mentioned above, the use of anti-adhesion agent also prevents the formation of a so called shark-skin extrudate, the further processing of which according to literature is not feasible as it leads to a wide size distribution range in the pellets. The anti-adhesion agent as used according to the invention provides an extrudate with good rheological and good surface properties, which properties remain acceptable also during a continuous process.

The addition of selective anti-adhesion agent also facilitates the spheronization, while the friction plates, which provide for the spheronizing effect, remain clean and are not filled with drug mass, as is the case without the said agent. This applies also to a continuously operated process. Also the extrudate is spheronized more easily into pellets.

The use of anti-adhesion agent in accordance with the invention thus decisively improves the running of the process and makes it possible to operate the same in a continuous manner by assisting in the apparatus remaining clean, which naturally is a substantial advantage. It also improves the quality and evenness of the end product, i.e. provides rounder pellets. Thus the disadvantages of the earlier systems relating e.g. to variations in the particle size, may be eliminated, and product losses deriving therefrom, may be reduced.

By using the process according to the invention, the use of binder may in many cases be abandoned even completely, and pellets of even quality and with a high concentration of drug (even up to 95 % drug) can be produced from merely a powdered drug and a filler, such a microcrystalline cellulose. The amount of filler can vary but usually it is at the most 30 %, suitably appr. 5 - 20 % by weight of the



final formulation. There is no need to add further adjuvants and additives, but such may of course be added, e.g. buffers.

- 5 The selective anti-adhesion agent according to the invention does not belong to any specific pharmaceutical group of agents, such as for example lubricants or glidants, but according to the invention suitable anti-adhesion agents are preferably selected from the group formed by polyols,  
10 surface active agents, such as emulsifying and solubilizing agents and stabilizers, or silicone derivatives. Polyols are, for example, glycerol, propylene glycol, polyethylene glycol, e.g. PEGs 200-600 (Shell Chemicals Ltd); surface active agents, e.g. emulsifying and solubilizing agents and  
15 stabilizers are e.g. lecithin, poloxamer (e.g. Pluronic F-68, BASF Ltd), sodium lauryl sulfate (e.g. Tensopol, Tensla Ltd), polysorbates (e.g. Tween, Atlas Chemical In. Ltd), such as polysorbate 80, sorbitan esters (e.g. Span, Croda Chemicals Ltd), macrogols, dioctyl sodium sulfosuccinate  
20 (e.g. Docusate sodium, Manchem ltd), sodium laurylsulfonate; and silicone derivatives are e.g. silicone emulsions (e.g. Dow Corning 365 Medical Grade Emulsion, Dow Chemical Co.).
- 25 As the granulating liquid to which the anti-adhesion agent is added suitably for example water or a lower alcohol, e.g. ethanol, or their mixtures may be used. The amount of granulating liquid needed for granulation naturally depends on the powder to be granulated, the amount to be used being  
30 such which gives the desired rheological characteristics, and can be easily determined by a person skilled in the art. A suitable amount is usually in the area of 20-100 % by weight calculated from the dry powder mixture, although smaller and larger amounts may be used. The amount of anti-  
35 adhesion agent remaining in the final formulation thus also depends on the amount of granulating liquid fed, a suitable final concentration varying between appr. 0.0002 - 5,

suitably however appr. 0.002 - 0.1 % by weight of the final product.

The following examples illustrate the invention without  
5 limiting the same in any way.

Example 1

Pellets were prepared from powder mixtures containing  
10 always 80 % by weight of the following drugs: diltiazem  
HCl, anhydrous theophylline, ibuprofen and paracetamol, as  
well as 20 % by weight of microcrystalline cellulose  
(Emcocel 90 M, Edward Mendell Co., USA). As the granulating  
liquid, distilled water was used which contained 0 - 0.1 %  
15 by weight (calculated from the water) of anti-adhesion  
agent. The anti-adhesion agent was polysorbate for the  
drugs in question. In the case of theophylline, also other  
agents were tested. The feeding rate for the water was  
adjusted so that its amount was 65 % by weight of the fed  
20 powder mixture.

The drug and the microcrystalline cellulose powder were  
first mixed for 5 minutes in a high-shear-mixer (Fielder  
PMA 25, GB). The dry mass was granulated in a Nica-mix-  
25 er/granulator (Nica M6L, Sweden). The moist mass thus  
obtained was thereafter extruded in a Nica-extruder (Nica  
E140, Sweden) and finally spheronized in a Nica-spheronizer  
(Nica S320, Sweden). The granules were dried either at room  
temperature or in a vented oven.

30  
In the following table the compositions of the final drug  
forms are given.

Drug		Emcocel 90	Polysorbate	
5	Diltiazem.HCl	79.98%	19.99%	0.03%
	Ibuprofen	79.97%	19.99%	0.04%
	Paracetamol	79.95%	19.99%	0.06%
	Theophylline.anh.	79.95%	19.99%	0.06%

All the drug mixtures performed faultlessly in the process. From all the drugs, a pellet product was obtained which was of exceedingly even quality as to size distribution and composition, which product can be coated and/or used as such either as a capsule filling or compressed to tablets. The result was good even though the drugs used varied from very water soluble (diltiazem) to insoluble (ibuprofen).

15

As regards anhydrous theophylline, it was not possible to prepare pellets which contained more than 50 % drug when using plain water as granulating liquid. The wet mass had in fact very bad self-lubricating properties and thus adhered to the apparatus giving rise to overheating in the mixing and extrusion stage. In order to eliminate this problem, small amounts of various anti-adhesion agents were added to the theophylline, i.e. those mentioned in the following table. 0.1 % by weight of anti-adhesion agent was used calculated from the distilled water, except for dioctyl sodium sulfosuccinate (DOSS) where the amount added was 0.01 % by weight.

30

35

10

Composition						
(%)		I	II	III	IV	V
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	Theophylline	79.95	79.95	79.95	79.95	79.95
5	Emcocel 90M	19.99	19.99	19.99	19.99	19.99
	Polysorbate 80	0.06				
	Glycerol		0.06			
	PEG 300				0.06	
	Silicone emulsion					
10	(silicone)			0.06		
	DOSS					0.01

According to the invention it was possible to prepare also  
from theophylline pellets of even quality using different  
15 anti-adhesion agents.

## Claims

1. Process for the preparation of drug pellets which comprises the stages according to which
  - 5       - a drug containing powder is granulated in a rotor type granulator, by exerting a centrifugal force on the powder in the rotor and bringing it, at the periphery of the rotor or adjacent thereto, in contact with a granulating liquid fed separately into the rotor and
  - 10       converted to a mist therein,
  - the obtained granulate is extruded, and
  - the obtained extrudate is spheronized to pellets,which are dried and optionally coated, **characterized** in that the granulating liquid contains a selective anti-adhesion agent in an amount of 0.001 - 5 % of the weight of the granulating liquid.
- 15       2. Process according to claim 1, **characterized** in that the amount of anti-adhesion agent is appr. 0.01 - 0.1 % of the weight of the granulating liquid.
- 20       3. Process according to claim 1 or 2, **characterized** in that the anti-adhesion agent is a polyol, a surface active agent, or a silicone derivative.
- 25       4. Process according to any one of the claims 1-3, **characterized** in that the polyol is glycerol or polyethylene glycol.
- 30       5. Process according to any one of the claims 1-3, **characterized** in that the surface active agent is polysorbate or dioctyl sodium sulfosuccinate.
- 35       6. Process according to any one of the preceeding claims, **characterized** in that the granulating liquid is water or a lower alcohol, which optionally contains a buffer.

7. Process according to any one of the preceeding claims, **characterized** in that the amount of granulating liquid is appr. 20 - 100 % of the weight of the powder.
- 5 8. Process according to any one of the preceeding claims, **characterized** in that the drug is diltiazem, ibuprofen, theophylline or paracetamol.
- 10 9. Process according to any one of the preceeding claims, **characterized** in that the powder to be granulated consists of drug and filler, and that the amount of filler is at the most appr. 30 % of the total weight of the powder mixture, preferably appr. 5 - 20 % of the weight of the powder mixture.
- 15 10. Process according to any one of the preceeding claims, **characterized** in that the filler is microcrystalline cellulose.
- 20 11. Process according to any one of the preceeding claims, **characterized** in that it is a continous process.

## A. CLASSIFICATION OF SUBJECT MATTER

IPC5: A61K 9/16, B01J 2/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC5: A61K, B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EMBASE, WPI, WPIL, CLAIMS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Dialog Information Services, File 351, World Patents Index, Dialog accession no. 003964615/7, WPI accession no. 84-110159/18, TAIYO YAKUHI KOGYO, "Granule and particle agglomeration prevention involves addition of antisticking agent of specified grain size and including e.g. talc and calcium stearate", JP 59049840, A, 840322, 8418 (Basic) --	1-3
X	GB, A, 2234899 (CHINOIN GYOGYSZER-ES VEGYESZETL TERMEKEK GYARA), 20 February 1991 (20.02.91), page 3, line 7 - page 5, line 5, example 1 --	1-3,6-11
A	EP, A1, 0486959 (VECTORPHARMA INTERNATIONAL S.P.A.), 27 May 1992 (27.05.92), claims 1-5 --	1-11

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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## INTERNATIONAL SEARCH REPORT

International application No.

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C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	WO, A1, 9307859 (WARNER-LAMBERT COMPANY), 29 April 1993 (29.04.93), page 7, line 2 - line 8  -----  --  -----	1-11



## INTERNATIONAL SEARCH REPORT

Information on patent family members

27/11/93

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WO-A1-	9307859	29/04/93	NONE	